

# NASA - RETROSPECTIVE PLAN PROGRESS

July 2014

## PENDING ACTIONS

Agency/Subagency	RIN /OMB Control Number	Title of Initiative/Rule/ICR	Brief Description	Actual or Target Completion Date	Anticipated savings in costs and/or information collection burdens, together with any anticipated changes in benefits (please quantify, to the extent feasible, and also specify baseline, time horizon, and affected groups)	Progress updates and anticipated accomplishments	Notes
1. NASA/Procurement	AD79	NASA Grant Handbook, Payment of Profit and/or Management Expenses on Cooperative Agreements	<p>This rule finalizes the amendments to 14 CFR 1260 and responds to public comments received during the review of the proposed rule that published January 11, 2012.</p> <p>Part 1260 prescribes the payment of profit and/or fee (including management fee) under all Federal Financial Assistance awards (i.e., grants and cooperative agreements).</p>	December 2014	Administrative corrections made to align with NASA's current operations and/or to comply with external requirements.	<p>Proposed rule published on January 11, 2012 [77 FR 1657] with the following title: Profit and Fee Under Federal Financial Assistance Awards.</p> <p>2<sup>nd</sup> proposed rule published on February 25, 2014. [79 FR 10436]</p> <p>OMB's uniform grant guidance (2 CFR 200), requires total revision of all NASA grant regulatory coverage, therefore NASA's rule is pending. This rule may be finalized in conjunction with AD94, below.</p>	
2. NASA/General Counsel	AD83	Processing of Monetary Claims	<p>This direct final rule amends 14 CFR 1261, subpart 4, to make nonsubstantive changes to change the amount to collect installment payments from \$20,000 to \$100,000 to align with Title 31, subchapter II, Claims of the United States Government, section 3711 (a)(2) Collection and Compromise.</p> <p>Subpart 4 prescribes standards for the administrative collection, compromise, suspension or termination of collection, and referral to the General Accountability Office (GAO), and/or to the Department of Justice (DOJ) for litigation, of civil claims as defined by 31 U.S.C 3701(b), arising out of the activities of NASA, designates NASA officials authorized to effect actions, and requires compliance with GAO/DOJ joint</p>	December 2014	Administrative corrections made to align with NASA's current operations and/or to comply with external requirements.	Direct final rule will be submitted for E.O. 12866 review September 2014.	

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			regulations at 4 CFR, parts 101-105 and the Office of Personnel Management regulations at 5 CFR part 550, subpart K				
3. NASA/Diversity and Equal Opportunity	AD85	Nondiscrimination on Basis of Handicap	This proposed rule amends 14 CFR 1251 to align with the Department of Justice's (DOJ) implementing regulations incorporating the new accessibility standards. Other amendments include updates organizational information, use of the term "disability" in lieu of the term "handicap," changes to definitions, and other sections based on the Americans with Disabilities Act of 2008. Part 1251 implements the federally assisted provisions of section 504 of the Rehabilitation Act of 1973 (section 504), as amended, 29 U.S.C. section 794 which prohibits discrimination on the basis of disability by recipients of Federal Financial Assistance from NASA. Under Executive Order No. 12250, the United States Attorney General has the authority to coordinate the implementation and enforcement of a variety of civil rights statutes by Federal agencies such as NASA.	November 2014	Administrative corrections made to align with NASA's current operations and/or to comply with external requirements.	Submitted for EO 12866 review. More information can be found at <a href="http://www.reginfo.gov">www.reginfo.gov</a> .	
4. NASA/Chief Information Officer	AD92	Information Security Program	This direct final rule amends 14 CFR 1203 to make nonsubstantive changes to align with EO 13556, Controlled Unclassified Information (CUI) and to incorporate the National Archives and Records Administration's guidance to agencies for CUI that's currently under development.	December 2014	Administrative corrections made to align with NASA's current operations and/or to comply with external requirements.	Amendments to this rule are pending NARA's implementation of the Controlled Unclassified Information (CUI) program, per E.O. 13556 that will provide agencies with implementing guidelines for CUI, thereby enabling agencies to describe and provide the required instructions for their respective employees. NARA guidance is	

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			Part 1203 establishes the objectives of the NASA Information Security Program, ensures that information is classified only when and for such a period as necessary, prevents unwarranted classification and over-classification, ensures the greatest practicable uniformity within NASA and with other Government departments and agencies where there is an interchange of information or hardware, and provides a timely and effective means for downgrading or declassifying information as appropriate.			under OMB review and more information can be found at <a href="http://www.reginfo.gov">www.reginfo.gov</a> .	
5. NASA/Procurement	AD94	NASA Grant and Cooperative Agreement Handbook: Update, Streamline and Reorganize	This proposed rule amends 14 CFR Parts 1260, 1267, 1271, 1273, and 1274 to align with OMB recent guidance and to streamline the NASA Grant and Cooperative Handbook, by removing nonregulatory text.	December 2014	Administrative corrections made to align with NASA's current operations and/or to comply with external requirements.	Amendments to this rule includes changes described for RIN AD60 that was withdrawn.  NASA's draft implementation plan was submitted to the Office of Federal Financial Management June 2014. NASA anticipates issuing proposed or final regulatory coverage (dependent upon OMB direction) 12/2014.	
6. NASA/Human Exploration and Operations	AD98	Space Flight	This proposed rule amends 14 CFR subparts 6, 7, and 11 to remove language that refers to the recently retired Space Shuttle program and to clarify language for other ongoing programs that requires some of this rule to remain in place.  Subpart 6 establishes policy, procedures and responsibilities for selecting, approving, packing, storing, and disposing of mementos carried on Space	December 2014	Administrative corrections made to align with NASA's current operations and/or to comply with external requirements.	Proposed rule will be submitted for E.O. 12866 review October 2014.	

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			<p>Shuttle flights.</p> <p>Subpart 7 establishes the authority of the Space Shuttle commander to enforce order and discipline during all flight phases of a Shuttle flight. a program to ensure that personnel assigned to mission</p> <p>Subpart 11 establishes NASA procedures and assigns responsibilities for recruitment and selection of astronaut candidates.</p>				
7. NASA/Human Exploration and Operation	AD99	Duty Free Entry of Space Articles	<p>This proposed rule amends 14 CFR 1217 to remove language that refers to the recently retired Space Shuttle program and to clarify language for other ongoing programs that requires some of this rule to remain in place</p> <p>Part 1217 establishes general responsibilities and conditions for duty free exchange of hardware between NASA and its partners.</p>	December 2014	Administrative corrections made to align with NASA's current operations and/or to comply with external requirements.	Proposed rule will be submitted for E.O. 12866 review October 2014.	
8. NASA/Education	AE00	National Space Grant College and Fellowship Program	<p>This direct final rule amends 14 CFR 1259 to make nonsubstantive changes to update offices titles and correct citations, and to align with the updated NASA Grant and Cooperative Agreement Handbook currently under development.</p> <p>Part 1259 establishes the policies responsibilities and procedures relative to the National Space Grant College and Fellowship Program</p>	December 2014	Administrative corrections made to align with NASA's current operations and/or to comply with external requirements.	<p>Amendment to this rule is tied to the update of the Grant and Cooperative Agreements Handbook (RIN AD94).</p> <p>Direct final rule will be submitted for EO 12866 review October 2014.</p>	

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			established by title II of the National Aeronautics and Space Administration Authorization Act of 1988.				
9. NASA/Procurement	AE01	NASA FAR Supplement Revise, Update, Reissues NASA FAR Supplement	<p>This proposed rule amends 48 CFR for a comprehensive rewrite of the NASA FAR Supplement (NFS). The rewrite will accomplish basic administrative clean-up of document, correct numbering inconsistencies, incorporate guidance currently existing outside of the NFS, add new policy, and delete outdated policy. The effort will also identify opportunities for efficiencies and streamlining and include changes accordingly. The goal is to lessen the regulatory burden on the public.</p> <p>The update to the NASA FAR Supplement will be done in three phases. This first phase will amend part 1815, Contracting By Negotiation, 1834, Major System Acquisition, part 1841, Acquisition of Utility Services, part 1846, Quality Assurances, part 1851, Use of Government Sources by Contractors, and part 1852, Solicitation Provisions and Contract Clauses.</p>	December 2014	Administrative corrections made to align with NASA's current operations and/or to comply with external requirements.	<p>Proposed rule published April 18, 2013 [78 FR 23199].</p> <p>Final rule will be issued concurrent with final rule for NFS rewrite proposed rules #2 and #3. The proposed rules for #2 and #3 are expected to be issued in August 2014 and September 2014, with the final rule for all three rules expected by 12/2104.</p>	
10. NASA/General Counsel	AE02	Patent Waiver Regulations	Direct final rule amends 14 CFR 1245 subpart sections 1245.100 through 1245.104, 1245.106, 1245.107, 1245.110, 1245.112, 1245.116 and 1245.117 to	November 2014		Currently under EO 12866 review. More information can be found at <a href="http://www.reginfo.gov">www.reginfo.gov</a> .	

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			update citations to the United States Code; to clarify the requirements and procedures for petitioning for a patent waiver, so they follow more closely the terms of the Space Act; and to add grounds for denial of a petition for waiver of foreign rights. The revisions include 1) clarification that NASA only has authority under the Space Act to waive rights in “inventions or classes of inventions;” 2) a definition for what a “class of invention” includes; and 3) a requirement that petitions for advance waiver of rights to identify what inventions or classes of inventions the Contractor believes will be made under the contract and for which waiver of rights is being requested.				
11. NASA/General Counsel	AE03	Supplemental Standards of Ethical Conduct for Employees of the National Aeronautics and Space Administration	NASA, with the concurrence of the Office of Government Ethics (OGE), is amending the Supplemental Standards of Ethical Conduct for Employees of the National Aeronautics and Space Administration. The interim rule will permit student interns to seek prior approval to engage in outside employment with a NASA contractor, subcontractor, grantee, or party to a NASA agreement in connection with work performed by that entity or under that agreement. These amendments will clarify the types of outside employment activities that require approval; streamline the process for approval; eliminate obsolete	August 2014	Administrative corrections made to align with NASA’s current operations and/or to comply with external requirements.	Interim final rule with request for comments published and became effective on February 10, 2014. [79 FR 7565].  Final rule will be signed jointly by NASA and OGE and is currently being coordinated for OGE’s signature. Publication expected August 2014.	

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			position titles; and extend the permissible time period of approval.				
12. NASA/Communications	AE04	Procedures for Disclosure of Records Freedom of Information Act Regulations	NASA is proposing to amend its regulations implementing the Freedom of Information Act (FOIA). The regulations are being revised to update and streamline the language of several procedural provisions, and to incorporate changes brought about by the amendments to the FOIA under the Openness Promotes Effectiveness in our National (OPEN) Government Act of 2007. The regulations are also being updated to reflect developments in case law and to include current cost figures for calculating and charging fees.	August 2014	Administrative corrections made to align with NASA's current operations and/or to comply with external requirements.	Proposed rule published on February 19, 2014. [79 FR 9430].  Final rule forwarded to the Federal Register July 22, 2014. Expected publication August 2014.	
13. NASA/Procurement	AE09	NASA FAR Supplement: Revise, Update, Reissue NASA FAR Supplement No. 2	This proposed rule represents phase two in a comprehensive rewrite of the NASA FAR Supplement (NFS). The rewrite will accomplish basic administrative cleanup of document, correct numbering inconsistencies, incorporate guidance currently existing outside of the NFS, add new policy, and delete outdated policy. The effort will also identify opportunities for efficiencies and streamlining and include changes accordingly. A goal is to lessen the regulatory burden on the public	December 2014	Administrative corrections made to align with NASA's current operations and/or to comply with external requirements.	Proposed rule expected to be issued in August 2014, with the final rule by December 2014.	

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14. NASA/Protective Services	AE10	NASA Protective Services Enforcement	<p>NASA is proposing to amend part 1204 by adding a subpart to establish traffic enforcement authority and procedures at all NASA Centers and component facilities</p> <p>Part 1204, describes the legal basis and other applicable NASA regulations related to the NASA's security and law enforcement services implementation requirements, of which was promulgated March 28, 1972 [38 FR 8056].</p>	December 2014	Administrative corrections made to align with NASA’s current operations and/or to comply with external requirements.	<p>Proposed rule published July 1, 2014 [79 FR 37252].</p> <p>Final rule will be forwarded for EO 12866 review September 2014</p>	



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COMPLETED ACTIONS

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1. NASA/Strategic Infrastructure	AD95	Delegations and Designations	<p>This direct final rule amends 14 CFR 1204, subpart 5 to make nonsubstantive changes to correct citations and titles throughout.</p> <p>Subpart 5 delegates authority to take actions in real estate and related matters.</p>	April 2014	Administrative corrections made to align with NASA’s current operations and/or to comply with external requirements.	<p>Direct final rule published February 28, 2014 [79 FR 11218].</p> <p>Final rule effective April 29, 2014.</p>	<b>COMPLETED</b>
2. NASA/Human Exploration and Operation	AD87	Removal of Obsolete Regulations: Space Flight Mission Critical Systems Personnel Reliability Program	<p>This direct final rule makes nonsubstantive changes by removing 14 CFR 1214, subpart 5 because it is obsolete and no longer used. Subpart 5 of part 1214, Space Flight Mission Critical Systems Personnel Reliability Program, was promulgated December 28, 1990 [55 FR 53289] to ensure that employees assigned to mission critical positions meet established screening requirements which was in response to the Carter Administration’s determination that the Space Shuttle was a critical national resource and that employees assigned to critical positions that affected the safety of space flight meet the highest standards of integrity and reliability.</p> <p>In accordance with Title 51 – National and Commercial Space Programs, Subtitle II, Chapter 201, Subchapter III - Sec. 20132 - Security Requirements, the NASA Administrator established security requirements,</p>	April 2014	Administrative corrections made to align with NASA’s current operations and/or to comply with external requirements.	<p>Direct final rule published on February 7, 2014. [79 FR 7391]</p> <p>Final rule effective April 8, 2014.</p>	<b>COMPLETED</b>

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			restrictions, and safeguards as deemed necessary in the interest of the national security. The Administrator also arranged with the Director of the Office of Personnel Management for the conduct of such security or other personnel investigations of the Administration's officers, employees, and consultants, and its contractors and subcontractors and their officers and employees, actual or prospective, as the Administrator deems appropriate. NASA implemented Homeland Security Policy Directive (HSPD)-12, Policy for a Common Identification Standard for Federal Employees and Contractors, issued August 27, 2004, to meet this requirement. In addition, as required by 14 CFR 1203a.100 and 1203a.103, to insure the uninterrupted and successful accomplishment of the NASA mission, certain designated security areas have be established and maintained by NASA Centers and Component Facilities in order to provide appropriate and adequate protection for facilities, property, or classified/proprietary information and material in the possession of NASA or NASA contractors located at NASA Centers and Component Facilities. Only those NASA employees, NASA contractor employees, and visitors who have a need for such access and				

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			who meet the criteria may enter these areas.				
15. NASA/Human Capital Management	AD88	Aeronautics and Space - Statement of Organization and General Information	<p>This direct final rule amends 14 CFR 1201to make nonsubstantive changes to provide current information of NASA's organizations and to redesignate the Dryden Flight Research Center as the Neil A. Armstrong Flight Research Center, Per H.R. 667 signed by the President on January 3, 2014.</p> <p>Part 1201 describes the authority for the creation of the NASA, as well as the purpose, functions, administration, and organizational structure of the Agency. The organizational information outlines in more detail the basic structure and responsibilities of the Mission Directorates located at NASA Headquarters and the NASA Centers located throughout the United States. Part 1201 also provides information on the Agency-level councils, committees, boards, and panels established to govern and support decision making. General information about doing work with NASA is included.</p>	April 2014	Administrative corrections made to align with NASA's current operations and/or to comply with external requirements.	Direct final rule published April 2, 2014 [79 FR 18443].  Final rule effective June 2, 2014.	<b>COMPLETED</b>
3. NASA/Small Business Program	AD97	Small Business Policy	This direct final rule amends 14 CFR 1204, subpart 4, to make nonsubstantive changes to update office names and titles, describe the role of the Small Business Technical Advisor, add more small business categories to include small disadvantaged business, HUBZone small	February 2014	Administrative corrections made to align with NASA's current operations and/or to comply with external requirements.	Direct final rule published December 23, 2013 [78 FR 77352]. Direct final rule effective February 21, 2014.	<b>COMPLETED</b>

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			business, women-owned small business concerns, veteran-owned small business, and service-and disabled veteran-owned small business in accordance with and required by the Small Business Act (15 U.S.C 631).  Subpart 4 establishes the Agency's small business policy and outlines the delegation of authority in implementing this policy.				
4. NASA/Diversity and Equal Opportunity and Chief Health and Medical Officer	AE11	Removal of Redundant Regulatory Text	This direct final rule makes nonsubstantive changes by removing redundant regulatory language in 14 CFR 1204.508, and parts 1230 and 1232. This language is already captured in statutes that govern NASA activities related to delegation of authority of certain civil rights functions, protection of human subjects, and care and use of animals in the conduct of NASA activities. Therefore, these regulations will be streamlined to make reference to those statutes.	February 2014	Administrative corrections made to align with NASA's current operations and/or to comply with external requirements.	Direct final rule published on December 16, 2013 [78 FR 76057]. Final rule will be effective February 14, 2014	<b>COMPLETED</b>
5. NASA/General Counsel	AD82	Boards and Committees	This direct final rule amends14 CFR 1209, subpart 3, to make nonsubstantive changes to remove references to an obsolete internal NASA policy (NASA Management Instruction (NMI) 1152.5) that was cancelled September 30, 1994. Subpart 3 provides authority for the Contract Adjustment Board to consider and dispose of requests for extraordinary contractual adjustment by contractor of NASA. It is being	June 2013	Administrative corrections made to align with NASA's current operations and/or to comply with external requirements.	Direct final rule published April 9, 2013 [78 FR 20422]. Final rule became effective June 4, 2013.	<b>COMPLETED</b>

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			amended.				
6. NASA/Protective Services	AD61	Information Security Protection	<p>This direct final rule amends 14 CFR 1203 to align with and implement the provisions of Executive Order 13526, Classified National Security Information, and to appropriately correspond with NASA's internal requirements, NPR 1600.2, Classified National Security Information (<a href="http://nodis3.gsfc.nasa.gov/displayDir.cfm?t=NPR&amp;c=1600&amp;s=2">http://nodis3.gsfc.nasa.gov/displayDir.cfm?t=NPR&amp;c=1600&amp;s=2</a>), that establishes the Agency's requirements for the proper implementation and management of a uniform system for classifying, accounting, safeguarding, and declassifying national security information generated by or in the possession of NASA.</p> <p>Part 1203 describes security and protective services requirements for NASA Headquarters, NASA Centers and component facilities in order to protect the Agency's employees, contractors, property, and information.</p>	March 2013	Administrative corrections made to align with NASA's current operations and/or to comply with external requirements.	Direct final rule published January 24, 2013 [78 FR 5116] . Final rule effective March 25, 2013	<b>COMPLETED</b>
7. NASA/Procurement	AD81	Non Procurement Rule, Suspension and Debarment	This proposed rule amends 2 CFR 1880 to extend coverage of nonprocurement suspension and debarment to all-tier procurement and non-procurement actions under all grants and cooperative agreements. Part 1880 prohibits the award of grants	March 2013	Administrative corrections made to align with NASA's current operations and/or to comply with external requirements.	Proposed rule published of October 29, 2012 [77 FR 65500] Final rule published February 27, 2013 [78 FR 13211]. Final rule became effective March 29, 2013	<b>COMPLETED</b>

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			and cooperative agreements from offers that propose sub-awards to firms or individuals on the Excluded Parties List Service.				
8. NASA/Protective Services	AD89	NASA Security and Protective Services Enforcement	<p>This direct final rule amended 14 CFR parts 1203a and 1203b, and subpart 10 of part 1204, to make nonsubstantive changes to align with and implement the provisions of Homeland Security Presidential Directive (HSPD) 12, Policy for a Common Identification Standard for Federal Employees and Contractors. , HSPD 7, Critical Infrastructure Identification, Executive Order 12978, Interagency Security Committee (ISC), 2008 ISC Standards, 2008 ISC Facility Security Level Determinations for Federal Facilities, Executive Order 13526, Classified National Security Information, Executive Order 10450, Security requirements for Government employment, and to appropriately correspond with the following NASA internal requirements:</p> <ul style="list-style-type: none"><li>– NPR 1600.1, NASA Security Program Procedural Requirements can be access at <a href="http://nodis3.gsfc.nasa.gov/displayDir.cfm?t=NPR&amp;c=1600&amp;s=1">http://nodis3.gsfc.nasa.gov/displayDir.cfm?t=NPR&amp;c=1600&amp;s=1</a>,</li><li>– NPD 1600.2, NASA Security Policy, can be access at <a href="http://nodis3.gsfc.nasa.gov/displayDir.cfm?t=NPD&amp;c=1600&amp;s=2E">http://nodis3.gsfc.nasa.gov/displayDir.cfm?t=NPD&amp;c=1600&amp;s=2E</a>.</li><li>– NPR 1600.2, NASA Classified National Security</li></ul>	January 2013	Administrative corrections made to align with NASA’s current operations and/or to comply with external requirements.	<p>Direct final rule includes amendments described RINs AD90 and AD91 that were withdrawn.</p> <p>Direct final rule published January 24, 2013 [78 FR 5122]. Direct final rule effective March 25, 2013.</p>	<b>COMPLETED</b>

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			<p>Information (CNSI), <a href="http://nodis3.gsfc.nasa.gov/displayDir.cfm?t=NPR&amp;c=1600&amp;s=2">http://nodis3.gsfc.nasa.gov/displayDir.cfm?t=NPR&amp;c=1600&amp;s=2</a></p> <p>– NPD 1600.3, Policy on Prevention of and Response to Workplace Violence, can be access at <a href="http://nodis3.gsfc.nasa.gov/displayDir.cfm?t=NPD&amp;c=1600&amp;s=3">http://nodis3.gsfc.nasa.gov/displayDir.cfm?t=NPD&amp;c=1600&amp;s=3</a>.</p> <p>– NPR 1600.3, Personnel Security, can be access at <a href="http://nodis3.gsfc.nasa.gov/displayDir.cfm?t=NPR&amp;c=1600&amp;s=3">http://nodis3.gsfc.nasa.gov/displayDir.cfm?t=NPR&amp;c=1600&amp;s=3</a>.</p> <p>- NPD 1600.4, National Security Programs, <a href="http://nodis3.gsfc.nasa.gov/displayDir.cfm?t=NPD&amp;c=1600&amp;s=4">http://nodis3.gsfc.nasa.gov/displayDir.cfm?t=NPD&amp;c=1600&amp;s=4</a>.</p> <p>Part 1203a, NASA Security Areas, describes the legal basis and other applicable NASA regulations related to NASA's security and law enforcement services implementation requirements.</p> <p>Part 1203b, Security Program; Arrest Authority and Use of Force by NASA Security Force Personnel, describes guidelines for the exercise of arrest authority establishes the Agency's security and law enforcement services implementation requirements.</p> <p>Subpart 10 of part 1204, Inspection of Persons and Personal Effect at NASA Installations or on NASA's</p>				

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9. NASA/Internal Controls and Management Systems	AD78	Removal of Obsolete Regulation: Use of Centennial of Flight Commission Name	<p>Property, describes NASA’s policy and minimum procedures concerning the inspection of persons and property in their possession while entering, or on, or exiting NASA real property or installations, as well as proscribes unauthorized entry or the unauthorized introduction of weapons or other dangerous instruments or materials at any NASA facility. In October 2012, NASA published a direct final rule to make nonsubstantive changes to remove Delegation of Authority to License the Use of the Centennial of Flight Commission Name. This rule effective December 3, 2012.</p> <p>14 CFR 1204.506 delegated authority to the Assistant Administrator for Public Affairs to use the Centennial of Flight Commission name on any logo, emblem, seal, or descriptive or designating mark for a year-long commemoration of the Wright brother’s achievements in powered flight that began December 2002 and ended December 2003.</p>	December 2012	Administrative corrections made to align with NASA’s current operations and/or to comply with external requirements.	Direct final rule published on October 4, 2012 [77 FR 60619]. Final rule effect December 3, 2012.	<b>COMPLETED</b>
10. NASA/General Counsel	AD51	Inventions and Contributions	In July 2012, NASA finalized a rule to make nonsubstantive changes to clarify and update the procedures for board recommended awards, and the procedures and requirements for recommended special initial awards, including patent application awards, software release awards, and Tech Brief awards, and to update citations	July 2012	Administrative corrections made to align with NASA’s current operations and/or to comply with external requirements.	Direct final rule published May 10, 2012 [77 FR 27365]. Final rule became effective July 9, 2012.	<b>COMPLETED</b>



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Agency/Subagency	RIN /OMB Control Number	Title of Initiative/Rule/ICR	Brief Description	Actual or Target Completion Date	Anticipated savings in costs and/or information collection burdens, together with any anticipated changes in benefits (please quantify, to the extent feasible, and also specify baseline, time horizon, and affected groups)	Progress updates and anticipated accomplishments	Notes
			<p>and the information on the systems used for reporting inventions and issuing reward payments.</p> <p>14 CFR 1240 prescribes procedures for submitting applications for monetary awards to the Administrator of NASA for scientific and technical contributions which have significant value in the conduct of aeronautical and space activities and establishes the awards program.</p>				
11. NASA/Human Exploration and Operations	AD72	Tracking and Data Relay Satellite System	<p>In April 2012, NASA finalized a rule to make nonsubstantive changes to services provided to non-U.S. Government users and the reimbursement for rendering such services.</p> <p>14 CFR 1215 provides the policy governing the TDRSS services furnished to non-U.S. government users and the reimbursement for those services. The TDRSS represents a major investment by the U.S. government with the primary goal of providing improved communications and tracking services to spacecraft in low-Earth orbit at altitudes between (very roughly) 200 miles and 930 miles.</p>	April 2012	Administrative corrections made to align with NASA's current operations and/or to comply with external requirements.	<p>Direct final rule published February 10, 2012 [77 FR 6949].</p> <p>Final rule became effective April 10, 2012.</p>	<b>COMPLETED</b>
12. NASA/General Counsel	AD63	Claims for Patent and Copyright Infringement	In March 2012, NASA finalized a rule to formalize existing procedures as a regulation by adding a subpart to provide guidelines to file a claim for patent or copyright infringement, and to provide for written notification to the	March 2012	Administrative corrections made to align with NASA's current operations and/or to comply with external requirements.	<p>Proposed rule published July 26, 2011 [76 FR 44504].</p> <p>Final rule published March 12, 2012 [77 FR 14686].</p>	<b>COMPLETED</b>

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			claimant upon completion of NASA investigation.  14 CFR 1245 prescribes regulations for the waiver of rights of the Government of the United States to inventions made under NASA contract in conformity with section 305 of the National Aeronautics and Space Act of 1958.				
13. NASA/Strategic Infrastructure	AD71	Procedures for Implementing the National Environmental Policy Act	In January 2012, NASA finalized a rule for implementing the National Environmental Policy Act of 1969 (NEPA) as authorized by the Council on Environmental Quality (CEQ) Code of Federal Regulations (CFR) (40 CFR parts 1500-1508) and The National Aeronautics and Space Act of 1958, sec 20113(a), 51 U.S.C. section 20113 (a), by amending existing and adding new categories of actions eligible for categorical exclusion and adding generally applicable extraordinary circumstances to bound the use of all NASA categorical exclusions (CatExs). Consistent with CEQ regulations, CatExs was defined to mean "categories of agency actions with no individually or cumulatively significant effect on the human environment." Some of the new CatExs are similar to the CatExs of other Federal agencies and reflect NASA's experience with similar circumstances. The Preamble cites related CatExs from the NEPA regulations of other Federal agencies and examples	January 2012	Administrative corrections made to align with NASA's current operations and/or to comply with external requirements.	Proposed rule published July 21, 2011 [76 FR 43616].  Final rule published January 23, 2012 [77 FR 3102]	<b>COMPLETED</b>

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			<p>of NASA NEPA Actions for each of the 23 CatExs proposed. Additional examples supporting the CatExs and NEPA documents are also available at NASA's NEPA Library at <a href="http://www.nasa.gov/green/nepa">http://www.nasa.gov/green/nepa</a>.</p> <p>14 CFR 1216 sets forth NASA's policy on environmental quality and control and the responsibilities of NASA officials in carrying out these policies.</p>				
14. NASA/Chief Scientist	AD84	Research Misconduct	<p>In September 2012, NASA finalized a rule to make non-substantive changes to the policy governing the handling of allegations of research misconduct and update organizational information for changes that have occurred in the Agency.</p> <p>14 CFR 1275 establishes procedures to be used by NASA for the handling of allegations of research misconduct.</p>	September 2012	Administrative corrections made to align with NASA's current operations and/or to comply with external requirements.	Direct final published July 27, 2012. [77 FR 44439]. Final rule became effective September 28, 2012.	<b>COMPLETED</b>
15. NASA/Chief Information Officer	AD86	Privacy Act - NASA Regulations	<p>In October 2012, NASA published a direct final rule to make non-substantive changes to NASA rules governing implementation of the Privacy Act by updating statute citations, position titles, terminology, and adjusting appellate responsibility for records held by the NASA Office of the Inspector General.</p> <p>14 CFR 1212 establishes procedures for individuals to access their Privacy Act records maintained by NASA, to request</p>	December 2012	Administrative corrections made to align with NASA's current operations and/or to comply with external requirements.	Direct final rule published October 4, 2012 [77 FR 60619]. Final rule effective December 3, 2012.	<b>COMPLETED</b>

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			amendment of information in records concerning them, and to provide procedures for administrative appeals and other remedies.				

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## Reporting and Paperwork Burden Reductions

NASA	Sub-Agency	Title and OMB Control Number	Description	Hours of paperwork/reporting eliminated (annually)	Estimated effective date of the change	Reason(s) for change of effective date	Notes
Maximizing the Re-use of Data Already Collected	Goddard Space Flight Center Mission Directorate Office	2700-0064 Locator and Information Services Tracking System (LISTS)	<p><u>RESPONDENTS:</u> Individuals</p> <p><u>SUMMARY:</u> Originally, the LISTS (Locator and Information Services Tracking System) form was designed for use at NASA Goddard Space Flight Center to collect locator information on support contractors when the information could not be imported from other systems. The LISTS also served as repository for contact information in the event of an emergency during or outside official duty hours.</p>	705 total burden hour reduction	Completed 12/1/2012	Information collected in the past duplicates information in NASA's Agency-wide IdMax system in accordance with HSPD-12.	NASA's IdMAX system is an IC without OMB approval. However, the required 60-day and 30-day FRN were published, and the IC package was uploaded in ROCIS on July 11, 2014.
Maximizing the Re-use of Data Already Collected	NASA Office of Procurement	2700-0085 NASA Acquisition Process, Bids/Proposals Greater than \$500K	<p><u>RESPONDENTS:</u> Businesses or other For-Profit, Not-For-Profit State and Local Governments</p> <p><u>SUMMARY:</u> This Information collection was used to evaluate bids and proposals from offerors to award contracts, with values greater than \$500K, for required goods and services in support of NASA's mission.</p>	688,800 total burden hour reduction	Completed 12/1/2013	The NASA IC duplicates information collected through Federal-level (FAR) ICRs: The following Federal-level (FAR) ICRs cover proposal submissions. GSA issues and maintains these ICRs. FAR 14.201 (9000-0034 )FAR14.202-4 (9000-0040 )FAR 14.202-5 (9000-0039 )FAR 14.205 (9000-0037 )FAR 14.407 (9000-0038 )FAR 14.5 (9000-0041 )FAR 15.2 (9000-0037) FAR 15.209 (9000-0034) FAR 15.4 (9000-0013)FAR 15.404-1(f) (9000-0080)FAR 15.407-2 (9000-0078 )DAR 15.408 (9000-0115)	Information collection (IC) was cancelled in ROCIS

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Maximizing the Re-use of Data Already Collected	NASA Office of Procurement	2700-0086 NASA Acquisition Process, Bids/Proposals \$100K or Less	<u>RESPONDENTS</u> : Businesses or other For-Profit, Not-For-Profit State and Local Governments <u>SUMMARY</u> : This Information collection was used to evaluate bids and proposals from offerors to award contracts for required goods and services in support of NASA's mission.	43,244 total burden hour reduction	Completed 12/1/2013	The NASA IC duplicates information collected through Federal-level (FAR) ICRs. (see 2700-0085 above; column G above for FAR references.) This IC is no longer required.	IC was cancelled in ROCIS
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Maximizing the Re-use of Data Already Collected	NASA Office of Procurement	2700-0087 NASA Acquisition Process, Bids/Proposals less than \$500K	<u>RESPONDENTS</u> : Businesses or other For-Profit, Not-For-Profit State and Local Governments <u>SUMMARY</u> : Information collection is required to evaluate bids and proposals from offerors to award Purchase Orders and to use bank cards for required goods and services in support of NASA's mission.	1,225,900 total burden hour reduction	Completed in 12/1/2013	The NASA IC duplicates information collected through Federal-level(FAR) ICRs (see 2700-0085 above, column G for FAR references and related OMB control numbers.) This IC is no longer required.	IC was cancelled in ROCIS
Maximizing the Re-use of Data Already Collected	NASA Office of Human Capital Management	2700-0103 NASA Voluntary On-Line Job Applicant Racial and Ethnic Data Collection	<u>RESPONDENTS</u> : Individuals <u>SUMMARY</u> : NASA collected racial and ethnic data information from on-line job applicants to determine if NASA's recruitment efforts were reaching all segments of the country, as required by Federal law.	3,334 total burden hour reduction	Completed in 12/1/2013	NASA currently uses data obtained through USA Jobs (OMB control number 3206-0219) to meet regulatory reporting requirements. NASA no longer validates data 'directly' with the applicant. This IC is no longer required.	IC Cancelled in ROCIS

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Maximizing the Re-use of Data Already Collected	NASA Office of Physical Security	2700-0122 NASA Foreign National Access Information	<u>RESPONDENTS:</u> Individuals <u>SUMMARY:</u> NASA collected information from foreign nationals and US citizens representing foreign nationals to issue visitor badges and provide export control oversight.	6,200 total burden hour reduction	Completed in 12/1/2012	This NASA IC duplicates information collected by the NASA HQ Office of Physical Security per HSPD-12 (active IC without OMB approval.) The 60-day FRN for IdMAX was published. The IC package will be uploaded to OMB in Jan 2014. Currently Id MAX is an active IC without OMB approval.	IC Cancelled in ROCIS
NASA Program Management to Discontinue the IC		2700-0144 Dropping in a Microgravity Environment (DME)	<u>RESPONDENTS:</u> Individuals <u>SUMMARY:</u>	8 total burden hour reduction		Initiative was not funded for 2012 or 2013. Program has been cancelled.	IC Cancelled in ROCIS
NASA Program Management Decision to Discontinue the IC	Johnson Space Flight Center Office of Human Capital Mgmt.	2700-0147 NASA /JSC Cooperative Education - Housing Availability	<u>RESPONDENTS:</u> Individuals, Businesses <u>SUMMARY:</u> NASA Johnson Space Flight Center (JSC) collected information from individuals offering rental/housing arrangements. This information was shared with co-op and intern employees hired for the summer as 'housing options.' NASA no longer provides this service.	8 total burden hour reduction	Completed in Dec 2012.	NASA no longer gathers information from individuals and businesses to provide housing options for JSC co-op students and summer interns.	IC Cancelled in ROCIS
NASA Program Management Decision to Discontinue the IC	NASA Office of Education	2700-0152 NASA Explorer Schools	<u>RESPONDENTS:</u> Individuals <u>SUMMARY:</u> Information was collected to evaluate the NASA Explorer Schools (NES) project. The goals of NES are to engage teachers and schools in delivering unique NASA experiences that inspire middle	1,732 total burden hour reduction	Completed by 6/1/2013	The evaluation concluded.	IC Cancelled in ROCIS

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			and high schools students and interest them in NASA-related STEM content and careers.				
			-	TOTAL BURDEN REDUCTIONS - 1,969,931 hours			